

Export LC Amendment - Beneficiary Consent User Guide  
**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Export LC Amendment - Beneficiary Consent User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

## Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# Export LC Amendment - Beneficiary Consent

Export LC Amendment - Beneficiary Consent process enables the user to register the beneficiary consent response received for an amendment made to a LC.

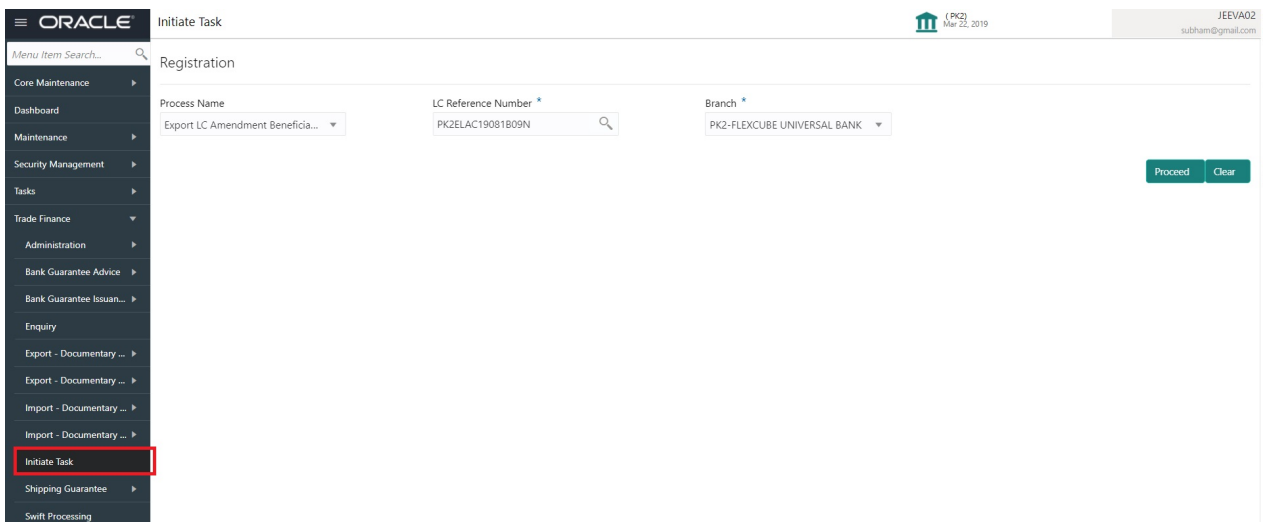
This section contains the following topics:

<a href="#">Common Initiation Stage</a>	<a href="#">Approval</a>
<a href="#">Registration</a>	<a href="#">Document Linkage</a>

## Common Initiation Stage

The user can initiate the new export LC amendment beneficiary consent request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

## Action Buttons

Use action buttons based on the description in the following table:

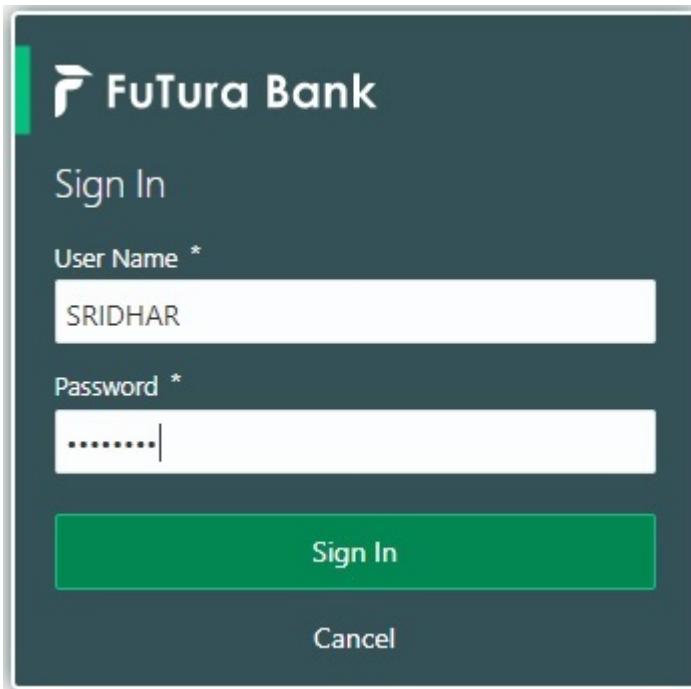
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## Registration

If beneficiary response is given through branch either by fax, mail, or paper, the Export LC amendment - Beneficiary Consent process starts from the Registration Stage.

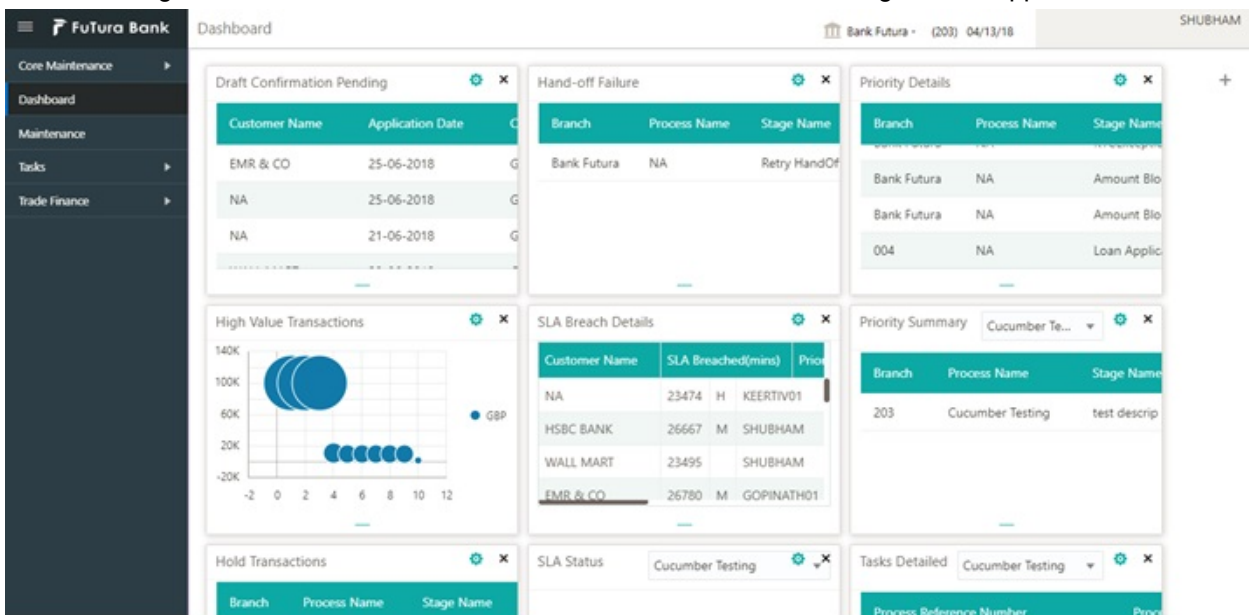
During Registration stage, user can capture the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents. It also enables the user to capture beneficiary response.

3. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the FuTura Bank logo and the text "Sign In". Below the header, there are two input fields: "User Name \*" with the value "SRIDHAR" and "Password \*" with masked characters. A green "Sign In" button and a "Cancel" button are positioned at the bottom of the form.

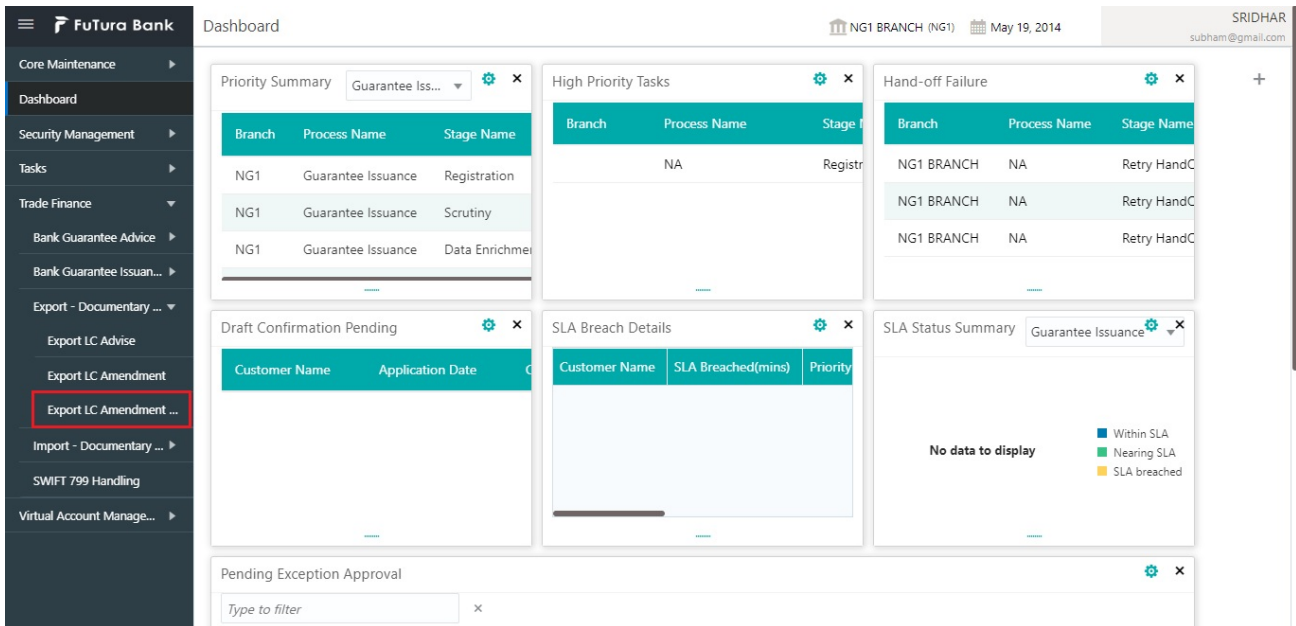
4. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image displays the FuTura Bank dashboard. The left sidebar contains navigation options: Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main dashboard area is titled "Dashboard" and shows several widgets:

- Draft Confirmation Pending:** A table with columns Customer Name, Application Date, and Status. Data includes EMR & CO (25-06-2018, G), NA (25-06-2018, G), and NA (21-06-2018, G).
- Hand-off Failure:** A table with columns Branch, Process Name, and Stage Name. Data includes Bank Futura, NA, and Retry HandOf.
- Priority Details:** A table with columns Branch, Process Name, and Stage Name. Data includes Bank Futura, NA, Amount Blo, and 004, NA, Loan Applic.
- High Value Transactions:** A bubble chart showing transaction values on the y-axis (ranging from -20K to 140K) and a time period on the x-axis (ranging from -2 to 12). A legend indicates GBP.
- SLA Breach Details:** A table with columns Customer Name, SLA Breached(mins), and Priority. Data includes NA (23474, H, KEERTIV01), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** A table with columns Branch, Process Name, and Stage Name. Data includes 203, Cucumber Testing, and test descrip.
- Hold Transactions:** A table with columns Branch, Process Name, and Stage Name.
- SLA Status:** A widget showing Cucumber Testing.
- Tasks Detailed:** A widget showing Cucumber Testing.

5. Click Trade Finance> Export - Documentary Credit> Export LC Amendment (Beneficiary Consent).



The Registration stage has two sections Application Details and Beneficiary Response Capture. Let's look at the details of Registration screens below:

### Application Details

Export LC Amendment Beneficiary Consent

Documents Remarks Customer Instruction

**Application Details**

20 - Documentary Credit Number \*  
 PK2ELAC21125A6K5

Amendment Number  
 1

Response Received Date  
 May 5, 2021

Customer Reference Number  
 srirama

Beneficiary ID  
 000153

Process Reference Number  
 PK2ELCA000007100

Issuing Bank  
 000322 Trade Indiv 2

Beneficiary  
 NATIONAL FREIGHT CORP

Priority  
 Medium

Non Bank Issuer

Branch  
 PK2-Oracle Banking Trade Finan...

Submission Mode  
 Desk

Cancel LC

**LC Details**

LC Type  
 Sight

Product Code  
 ELAC

Product Description  
 Import LC Usance Non Revolving

Advising Bank

40A - Form of Documentary Credit  
 IRREVOCABLE

Contract Reference Number  
 PK2ELAC21125A6K5

31C - Date of Issue  
 May 5, 2021

40E - Applicable Rules  
 UCPURR LATEST VERSION

Date of Expiry  
 Aug 3, 2021

31D - Place of Expiry  
 SRIRAMA

51A - Applicant Bank

Applicant  
 000321 Trade Indiv 1

Beneficiary

32B - Currency Code, Amount


39A - Percentage Credit Amount Tolerance

39C - Ad

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Credit Number	Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV.  In the LOV, user can input Customer ID, Applicant, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable LC to be amended.	

Field	Description	Sample Values
Beneficiary ID	Read only field. Beneficiary ID will be auto-populated based on the selected LC from the LOV.	001344
Beneficiary	Read only field. Beneficiary Name will be auto-populated based on the selected LC from the LOV.	EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Amendment Number	Read only field. Amendment number will be auto-populated based on selected Export LC. Amendment number increases by 1 for each amendment.	
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	Select the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. <b>Desk-</b> Request received through Desk <b>Courier-</b> Request received through Courier	Desk
Response Received Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  <b>Note</b> Future date selection is not allowed.	04/13/2018
Issuing Bank	Read only field. Issuing Bank details will be auto-populated based on the selected LC from the LOV.	
Non Bank Issuer	Read only field. Non Bank Issuer details will be auto-populated based on the selected LC from the LOV.	
Cancel LC	Read only field. This field displays the option to cancel the LC.	
Customer Reference Number	Read only field. This field displays the Customer Reference Number of the selected LC.	



## LC Details

Details in this screen displays the data from the LC issued.

### LC Details

LC Type Sight	Product Code ELAC	Product Description Import LC Usance Non Revolving	Advising Bank
40A - Form of Documentary Credit IRREVOCABLE	Contract Reference Number PK2ELAC21125A6K5	31C - Date of Issue May 5, 2021	40E - Applicable Rules UCPURR LATEST VERSION
Date of Expiry Aug 3, 2021	31D - Place of Expiry SRIRAMA	51A - Applicant Bank	Applicant 000321 Trade Indiv 1
Beneficiary 000153 NATIONAL FREN	32B - Currency Code, Amount GBP £10,000.00	39A - Percentage Credit Amount Tolerance 10 / 10	39C - Additional Amount Covered

### Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Unconfirmed		<input type="button" value="✉"/>

Hold Cancel Save & Close Submit

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based selected LC.	
Product Code	Read only field. This field displays the product code of the selected LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details of the selected LC.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected LC.	
Contract Reference Number	Read only field. This field displays the Contract Reference Number of the selected LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the rules of the selected LC.	
Date Of Expiry	This field displays the expiry date of the selected LC.	
Place of Expiry	This field displays the place of expiry of the selected LC.	


Field	Description	Sample Values
Applicant Bank	Read only field. This field displays the applicant bank details of the selected Export LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected LC.	
Beneficiary	Read only field. This field displays the details of the beneficiary of the selected LC.	
Currency Code, Amount	Read only field. This field displays the value of LC along with the currency details of the selected LC.	
Percentage Credit Amount Tolerance	Read only field. This field displays the percentage credit amount tolerance details of the selected LC.	
Additional Amount Covered	Read only field. This field displays the details of additional amount covered of the selected LC.	

## Beneficiary Response Capture

Registration user can capture the beneficiary responses of each amendments made to the LC in this section.

Capture the beneficiary response based on the description in the following table:

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated based on selected LC using documentary credit number.	
Amendment Date	Read only field. This field displays the date on which the amendment was made to LC.	

Field	Description	Sample Values
Beneficiary Consent Required	Read only field. Beneficiary Consent Required (Y/N) will be auto-populated based on selected LC using documentary credit number.	
Beneficiary Response	Select the beneficiary response from the LOV. <ul style="list-style-type: none"> <li>Confirmed</li> <li>Unconfirmed</li> <li>Rejected</li> </ul>  <p><b>Note</b> Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.</p>	

## Miscellaneous

Export LC Amendment Beneficiary Consent

Documents Remarks Customer Instruction

**Application Details**

20 - Documentary Credit Number \*  
PK2ELAC21125A6K5

Beneficiary ID  
000153

Beneficiary  
NATIONAL FREIGHT CORP

Branch  
PK2-Oracle Banking Trade Finan...

Amendment Number  
1

Process Reference Number  
PK2ELCA000007100

Priority  
Medium

Submission Mode  
Desk

Response Received Date  
May 5, 2021

Issuing Bank  
000322 Trade Indiv 2

Non Bank Issuer

Cancel LC

Customer Reference Number  
srirama

View LC Events

**LC Details**

LC Type  
Sight

Product Code  
ELAC

Product Description  
Import LC Usance Non Revolving

Advising Bank

40A - Form of Documentary Credit  
IRREVOCABLE

Contract Reference Number  
PK2ELAC21125A6K5

31C - Date of Issue  
May 5, 2021

40E - Applicable Rules  
UCPURR LATEST VERSION

Date of Expiry  
Aug 3, 2021

31D - Place of Expiry  
SRIRAMA

51A - Applicant Bank

Applicant  
000321 Trade Indiv 1

Beneficiary  
NATIONAL FREIGHT CORP

32B - Currency Code, Amount

39A - Percentage Credit Amount Tolerance

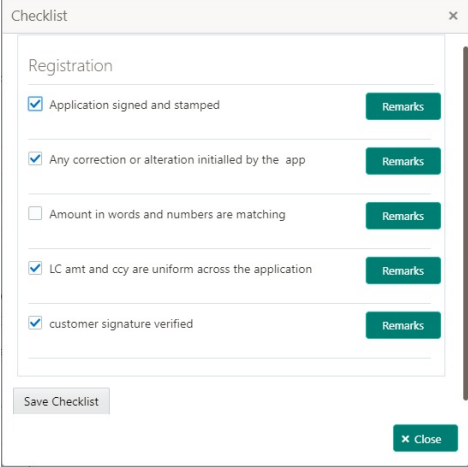
39C - Ad

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
<b>Action Buttons</b>		
Submit	<p>On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Amendment - Beneficiary Consent.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	<p>Cancels the Export LC Amendment - Beneficiary Consent Registration stage inputs.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

## Document Linkage

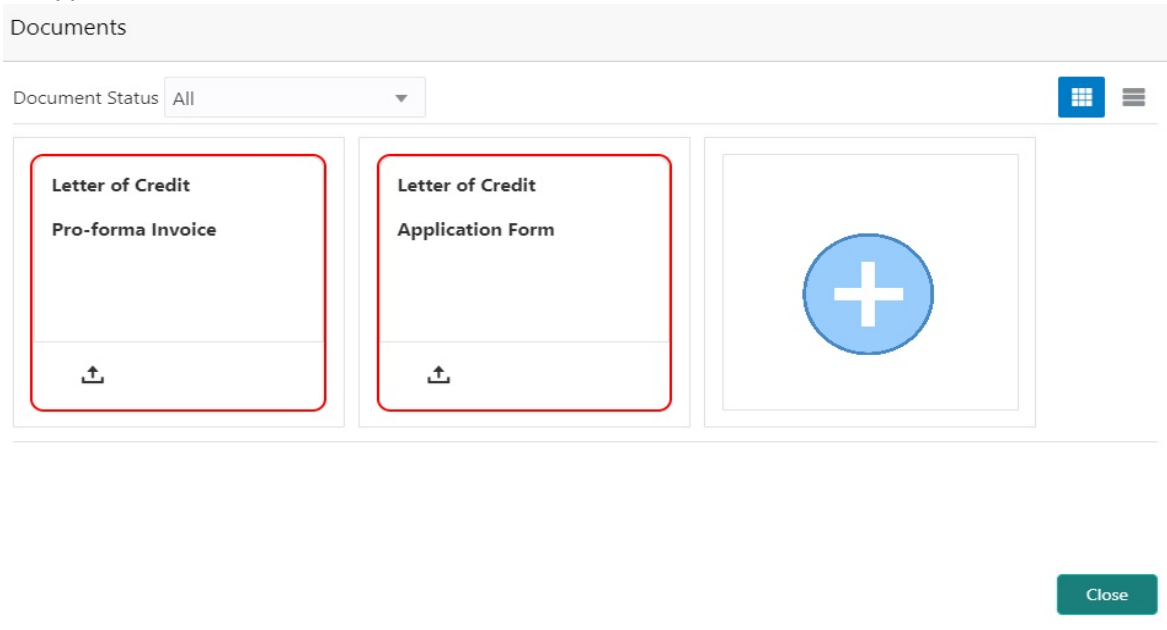
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



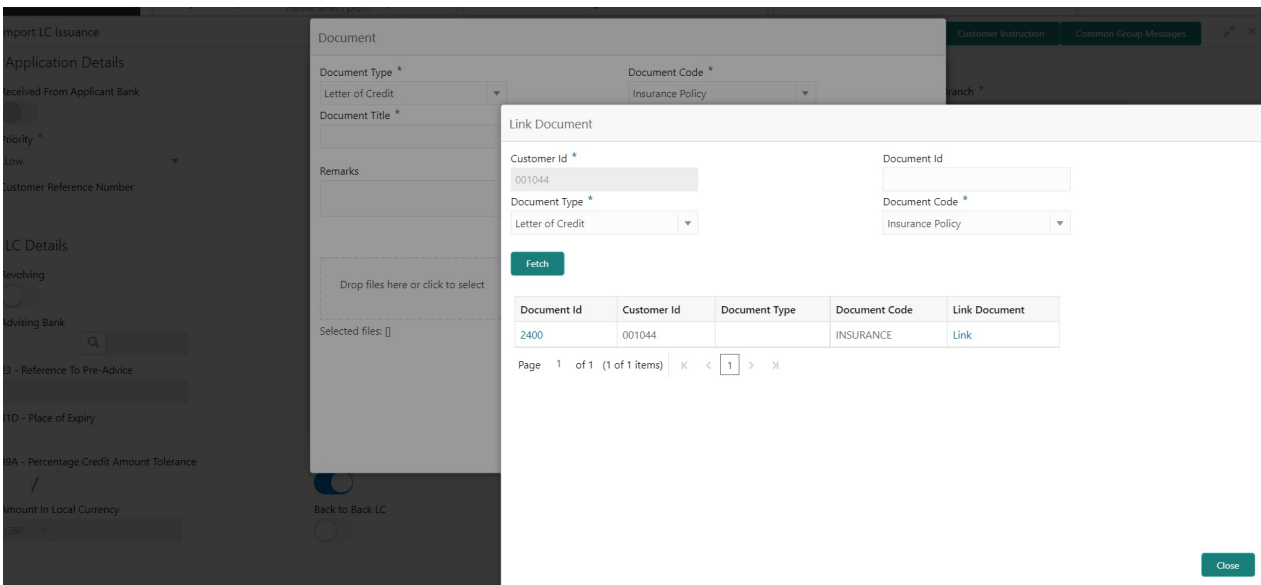
3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

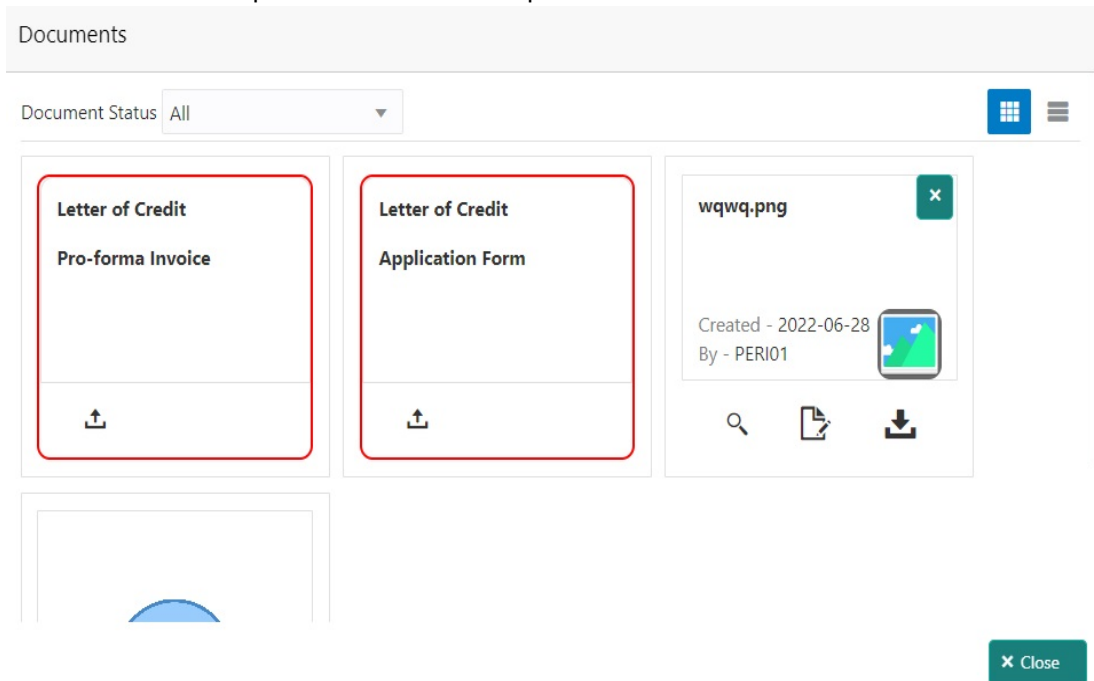


5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
<b>Search Result</b>		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.



Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

The 'Edit Document' form contains the following fields:

- Document Id: 2400
- Document Title: wqwq
- Application Reference Number: PK2ILCI000019041
- Entity Reference Number: PK2ILCI000019041
- Document Type Id: TFPM\_DOCTYPE001
- Document Description: (empty)
- Document Expiry Date: Jun 29, 2022
- Remarks: (empty)

At the bottom, there is a dashed box with the text 'Drop files here or click to select' and 'Current selected files: []'. 'Update' and 'Cancel' buttons are located at the bottom right.



## Data Enrichment

**Non-Online Channel** - Export LC Amendment - Beneficiary Consent request that were received at the desk will move to Beneficiary Consent Response Capture stage post successful Registration. The requests will have the details entered during the Registration stage.

**Online Channel** - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Beneficiary Consent Response Capture stage.

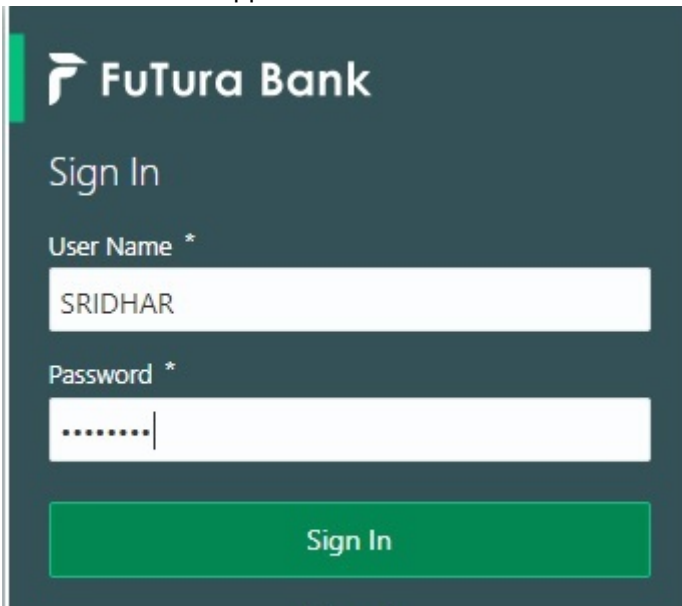


### Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Beneficiary Consent Response Capture stage:

1. Using the entitled login credentials for Beneficiary Consent Response Capture stage, login to the OBTFPM application.



**FuTura Bank**

Sign In

User Name \*

SRIDHAR

Password \*

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for user SHUBHAM at Bank Futura on 04/13/18. The widgets include:

- Draft Confirmation Pending:** Table with columns Customer Name, Application Date, and Status. Rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** Table with columns Branch, Process Name, and Stage Name. Row: Bank Futura, NA, Retry HandOf.
- Priority Details:** Table with columns Branch, Process Name, and Stage Name. Rows: Bank Futura, NA, Amount Blo; Bank Futura, NA, Amount Blo; 004, NA, Loan Applic.
- High Value Transactions:** A bubble chart showing transaction values for GBP.
- SLA Breach Details:** Table with columns Customer Name, SLA Breached(mins), and Priority. Rows: NA (23474, H, KEERTIV01), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** Table with columns Branch, Process Name, and Stage Name. Row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** Table with columns Branch, Process Name, and Stage Name.
- SLA Status:** Cucumber Testing.
- Tasks Detailed:** Cucumber Testing.

3. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks page shows a table of tasks for user SRIDHAR01 at FBN UK (GS1) on Feb 1, 2019. The table has the following data:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006373	GS1	000262	£15,000.00	Export LC Amendment-Bene...	BeneficiaryResponseCapture	GS1ELAC190322003
Acquire & Edit	M	GS1ILCI000006360	GS1	000262	£100,000.00	Import LC Issuance	Data Enrichment	GS1ILSN190328N5T
Acquire & Edit	H	GS1ELCA000006375	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NF2
Acquire & Edit	M	GS1ELCA000006285	GS1	000263	£100,000.00	Export LC Amendment	Scrutiny	GS1ELAC190328N15
Acquire & Edit	M	GS1ILCA000006281	GS1	000262	£90,000.00	Import LC Amendment	Approval	GS1ILSN190328NEY
Acquire & Edit	M	GS1ELCA000006283	GS1	000263	£100,000.00	Export LC Advising	Data Enrichment	NA

Page 1 of 1 (1-10 of 10 items) | Previous | Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The Free Tasks page is shown with the 'Acquire & Edit' button for the first task highlighted in red. The table data is identical to the previous screenshot.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

The Data Enrichment stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for beneficiary consent response capture stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

## Main Details

Main details section has three sub section as follows:

- Application Details
- Beneficiary Response Capture

## Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

## Beneficiary Response Capture

Registration user can capture the beneficiary responses of each amendments made to the LC in this section.

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	<input checked="" type="checkbox"/>	Unconfirmed		

Reject
Refer
Hold
Cancel
Save & Close
Back
Next

Capture the beneficiary response based on the description in the following table:

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated based on selected LC using documentary credit number.	
Amendment Date	Read only field. Amendment Date will be auto-populated based on selected LC using documentary credit number. This field displays the date on which the amendment was made to LC.	
Beneficiary Consent Required	Read only field. Beneficiary Consent Required (Y/N) will be auto-populated based on selected LC.	
Beneficiary Response	Select the beneficiary response from the LOV. <ul style="list-style-type: none"> <li>• Confirmed</li> <li>• Rejected</li> </ul> <div style="margin-top: 10px;"> <p><b>Note</b> Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.</p> </div>	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

## Additional Fields

Banks can configure these additional fields during implementation.

The screenshot shows the Oracle interface for 'Additional Fields' configuration. The breadcrumb is 'ExportLC Amendment BeneficiaryConsent - DataEnrichment :: Application No: PK2ELCA000058291'. The left sidebar has 'Additional Fields' selected. The main content area shows 'Additional Fields' with the message 'No Additional fields configured!'. The top navigation bar includes 'ORACLE', 'Free Tasks', '(DEFAULTTENITY)', '(PK2) May 6, 2019', and user 'SRIDHAR02 subham@gmail.com'. The bottom navigation bar has buttons: 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', 'Next'. The screen indicator is 'Screen ( 2 / 6)'.

## Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can suppress the advice, if required.

The screenshot shows the Oracle interface for the 'Advices' menu. The breadcrumb is 'ExportLC Amendment BeneficiaryConsent - Beneficiary Consent Response Capture'. The left sidebar has 'Advices' selected. The main content area displays five advice tiles. The top navigation bar includes 'ORACLE', 'Free Tasks', '(PK2) Mar 22, 2019', and user 'JEEVA02 subham@gmail.com'. The bottom navigation bar has buttons: 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', 'Next'. The screen indicator is 'Screen ( 3 / 5)'.

Advice Name	Advice Party	Party Name	Suppress
AMD_EXP_CR	BEN	GOODCARE PLC	NO
LC_AMND_INSTR	BEN	GOODCARE PLC	NO
LC_ACK_AMND	ISB	WELLS FARGO LA	YES
LC_CASH_COL_ADV	ISB	WELLS FARGO LA	NO
CHNG_REB	CHNG_REB		YES

The user can also suppress the Advice, if required.

## Additional Details

ExportLC Amendment BeneficiaryConsent - DataEnrichment :: Application No: PK2ELCA000058291

Additional Details

Commission,Charges and...		Preview Messages	
Charge	:	Language	:
Commission	:	Preview Advice	:-
Tax	:		
Block Status	: <b>Not Initiated</b>		

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

## Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Commission, Charges and taxes

Buttons: Recalculate, Redefault

Commission Details

Event: [Redacted]

Event Description: [Redacted]

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 of 1 (0 of 0 items)

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCANCHG	GBP	10000	GBP	£95.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK20010440017
LCCANCHG	GBP	10000	GBP	£95.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK20010440017

Page 1 of 1 (1-2 of 2 items)

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Buttons: Save & Close, Cancel

## Commission Details

Commission Details are auto-populated from back-end system.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	



Field	Description	Sample Values
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.  If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.  The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.  If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

## Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

## Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are auto-populated from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.	

Field	Description	Sample Values
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.  Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On Click of Back, the application loads previous stage inputs.	

# Settlement Details

ExportLC Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2ELCA00003638

Overrides Incoming Message View LC

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details**
- Summary

Settlement Details

Current Event

Screen ( 5 / 6)

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference N
AMT_PURCHASED	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
AMT_PURCHASEDEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BCCOUR_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_AMND_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_LIQ_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
BILL_LIQ_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_OS_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
CHG1_LIQD	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
CHG1_LIQD_AMTEQ	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
COLL_LIQ_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			

Audit

Report Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the following field description.

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable Netting Indicator.	
Current Event	System defaults the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On Click of Back, the application loads previous stage inputs.	

## Summary

User can review the summary of details updated in Beneficiary Consent Response Capture section. User can drill down from summary Tiles into respective data segments.

The screenshot displays the Oracle application interface for 'Export LC Amendment Beneficiary Consent - DataEnrichment'. The application number is PK2ELCA000058291. The user is logged in as SRIDHAR02 (subham@gmail.com) on May 6, 2019. The interface shows a navigation menu on the left with options: Main Details, Additional Fields, Advices, Additional Details, Settlement Details, and Summary (selected). The main content area is titled 'Summary' and contains a grid of tiles:

- Accounting Details:** Event, Account Number, Branch.
- Main Details:** Form of LC: IRREVOCABLE, Submission Mode: Desk, Date of Issue: 2019-03-22, Date of Expiry: 2019-06-20, Place of Expiry: LONDON.
- Additional Fields:** Click here to view Additional fields.
- Advices:** Advice 1, Advice 2.
- Commission, Charges and Taxes:** Charge, Commission, Tax, Block Status: Not Initia.
- Preview Messages:** Language: ENG, Preview Message: -.
- Parties Details:** Beneficiary: MARKS AND, Applicant: WELLS FARG, Confirming Bank: GOODCARE PLC.
- Compliance details:** KYC: Not Initia, Sanctions: Not Initia, AML: Not Initia.

The bottom action bar includes buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.

### Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Charges - User can view the charge details.
- Preview Messages - User can view the preview message.
- Compliance - User can view the compliance details.
- Party Details - User can the party details.
- Accounting Details - User can view the accounting entries generated in back office.



**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

**Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Submit	Task will get moved to next logical stage of Export LC Amendment - Beneficiary Consent.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Back	<p>On Click of Back, the application loads previous stage inputs.</p>	

## Exceptions

The Export LC Amendment Beneficiary Consent request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

### Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number” to the back office. On successful handoff, back office will make use of these “Amount Block

Reference Number” to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

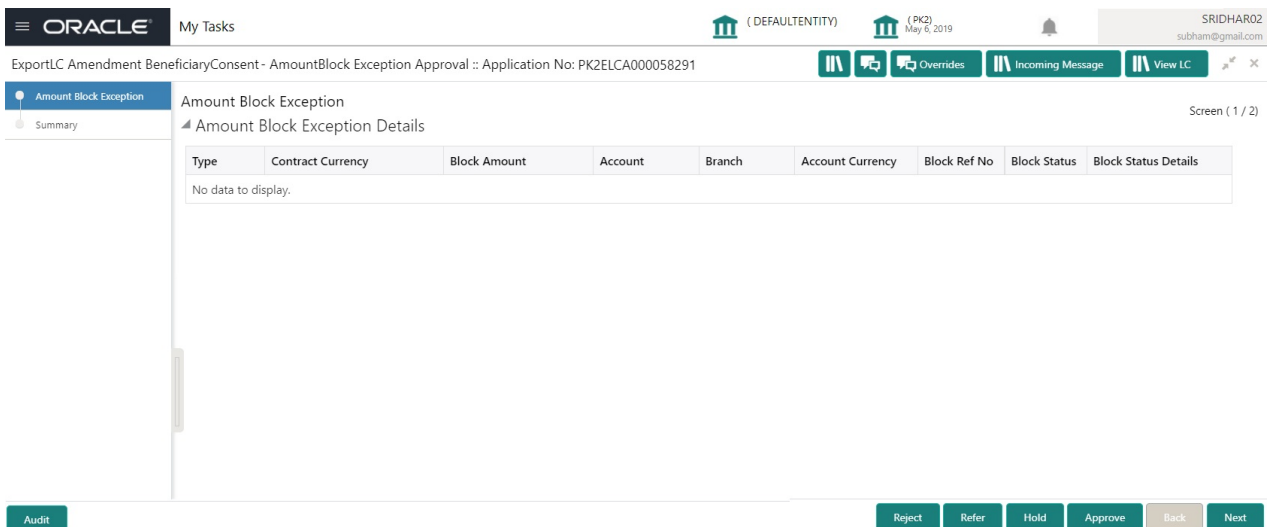
- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

## Amount Block Exception

This section will display the amount block exception details.



The screenshot shows the Oracle Amount Block Exception Approval interface. The top navigation bar includes the Oracle logo, 'My Tasks', and user information for SRIDHARQ2 (subham@gmail.com). The main content area displays 'Amount Block Exception Approval' for Application No: PK2ELCA000058291. A table titled 'Amount Block Exception Details' is shown, but it contains no data. The bottom of the interface features a navigation bar with buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Approve', 'Back', and 'Next'.

Type	Contract Currency	Block Amount	Account	Branch	Account Currency	Block Ref No	Block Status	Block Status Details
No data to display.								

## Summary

ORACLE My Tasks (DEFAULTTENITY) (PK2) May 8, 2019 SRIDHAR0 subham@gmail.com

ExportLC Amendment BeneficiaryConsent - AmountBlock Exception Approval :: Application No: PK2ELCA000058291

Amount Block Exception Summary Screen ( 2 / 2)

Main Details	Additional Fields	Advices	Commission,Charges and Taxes
Form of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2019-03-22</b> Date of Expiry : <b>2019-06-20</b> Place of Expiry : <b>LONDON</b>	Click here to view : Additional fields	Advice 1 : Advice 2 :	Charge : Commission : Tax : Block Status : <b>Not Initia</b>
Preview Messages	Parties Details	Compliance details	
Language : <b>ENG</b> Preview Message : -	Beneficiary : <b>MARKS AND</b> Confirming Bank : <b>GOODCARE PLC</b> Applicant : <b>WELLS FARG</b>	KYC : <b>Not Initia</b> Sanctions : <b>Not Initia</b> AML : <b>Not Initia</b>	

Audit Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	Cancel the Export LC Amendment Beneficiary Consent Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

### Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

#### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

## Summary

Oracle My Tasks (DEFAULTTENTIVITY) (PK2) May 8, 2019 SRIDHAR02 subham@gmail.com

ExportLC Amendment BeneficiaryConsent - KYC Exceptional approval :: Application No: PK2ELCA000058291

KYC Exception Details Summary Screen ( 2 / 2)

Main Details	Additional Fields	Advices	Commission,Charges and Taxes
Form of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2019-03-22</b> Date of Expiry : <b>2019-06-20</b> Place of Expiry : <b>LONDON</b>	Click here to view : Additional fields	Advice 1 : Advice 2 :	Charge : Commission : Tax : Block Status : <b>Not Initia</b>
Preview Messages	Parties Details	Compliance details	
Language : <b>ENG</b> Preview Message : -	Beneficiary : <b>MARKS AND</b> Confirming Bank : <b>GOODCARE PLC</b> Applicant : <b>WELLS FARG</b>	KYC : <b>Not initia</b> Sanctions : <b>Not initia</b> AML : <b>Not initia</b>	

Audit Reject Refer Hold Approve Back Next

### Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Charge - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Bank Checks.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Export LC Amendment Beneficiary Consent KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

### Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



#### Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

#### Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

#### Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

#### Reject

The transaction due to non-availability of limits capturing reject reason.

## Limit/Credit Check

This section will display the amount block exception details.

ExportLC Amendment BeneficiaryConsent - Credit Exception - Review

Credit Exception

Screen ( 1 / 2 )

▲ Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	
<input type="checkbox"/>	001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed a

▲ Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	
<input type="checkbox"/>	Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Success	The amount block can

Reject Hold Refer Cancel Approve Back Next

## Summary

ExportLC Amendment BeneficiaryConsent - Credit Exception - Review

Credit Exception

Summary

Screen ( 2 / 2 )

**Main Details**

Form Of LC : **REVOCABLE**  
 Submission Mode : **Desk**  
 Date Of Issue : **4/13/2018**  
 Date Of Expiry : **7/19/2018**  
 Place Of Expiry : **London**

**Party Details**

Applicant : **XXX**  
 Beneficiary : **XXX**  
 Advising Bank : **XXX**  
 Confirming Bank : **XXX**

**Availability & Shipment**

Available With : **YOUR SELVES**  
 Available By : **By Payment**  
 Port Of Loading :  
 Port Of Discharge : **Chennai**

**Payments**

Period Of Present. :  
 Confirmation Instr. : **CONFIRM**  
 Advise Through Bank :

**Amendment Details**

Amount : **20000**  
 Currency : **GBP**  
 Date Of Expiry : **7/19/2018**  
 Place Of Expiry : **London**  
 Tolerance :

**Documents & Condition**

Document 1 : **BOL**  
 Document 2 : **MARDOC**  
 Document 3 : **INSDOC**

**Limits & Collaterals**

Limit Currency : **GBP**  
 Limit Contribution : **20000**  
 Limit Status : **Available**  
 Collateral Currency : **GBP**  
 Collateral Contribution : **2000**  
 Collateral Status : **Success**

**Charge Details**

Charge : **GBP 50**  
 Commission :  
 Tax :  
 Block Status : **Success**

**Revolving Details**

Revolving : **No**  
 Revolving In :  
 Revolving Frequency :

**Preview Messages**

Confirm. Required : **Yes**  
 Confirm. Response :  
 Response Date :

**Compliance**

KYC : **Verified**  
 Sanctions : **Verified**  
 AML : **Verified**

Reject Hold Refer Cancel Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.



- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	<p>Cancel the Export LC Amendment Beneficiary Consent Limit exception check.</p>	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Main Details

Refer to [Main Details](#).

Export LC Amendment Beneficiary Consent - Approval1

Main Details

Application :- NG1ELCA000004278

Application Details

20 - Documentary Credit Number \* Beneficiary ID Beneficiary Name Branch  
 NG1ELAC141398043 000128 EMR & CO NG1-NG1 BRANCH

Amendment Number Process Reference Number Priority Submission Mode  
 NG1ELCA000004278 Medium Desk

Response Received Date Issuing Bank Non Bank Issuer Cancel LC  
 May 19, 2014

Advising Bank Reference

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks
1	2019-02-19	<input type="checkbox"/>	Confirmed	

Reject Hold Refer Cancel Approve

## Summary

Refer to [Summary](#).

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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